

National Aeronautics and  
Space Administration



# A Review of Extra-Terrestrial Regolith Excavation Concepts and Prototypes

Space Resources Roundtable  
Tenth Joint Meeting  
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Golden, Colorado

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Swamp Works  
NASA Kennedy Space Center,  
Florida, USA





- Current NASA policy aims to use space resources on the Moon to ensure a sustainable future
- The resources on the Moon are, to a large degree, contained in the energy from the Sun, minerals and volatiles in the lunar regolith
- In order to acquire the regolith, robotic excavation technologies will be necessary and these robotic excavators will be very different from terrestrial excavators
- Very different and harsh environment on the Moon and there are severe mass and volume limitations that are imposed by the space transportation launch vehicles

## Directive Calls for Human Expansion Across Solar System



Representatives of Congress and the National Space Council joined President Donald J. Trump, Apollo astronaut Jack Schmitt and current NASA astronaut Peggy Whitson Monday, Dec. 11, 2017, for the president's signing of Space Policy Directive 1, a change in national space policy that provides for a U.S.-led, integrated program with private sector partners for a human return to the Moon, followed by missions to Mars and beyond.  
*Credits: NASA/Aubrey Gemignani*

<https://www.nasa.gov/press-release/new-space-policy-directive-calls-for-human-expansion-across-solar-system>



# Some Uses of Regolith on the Moon



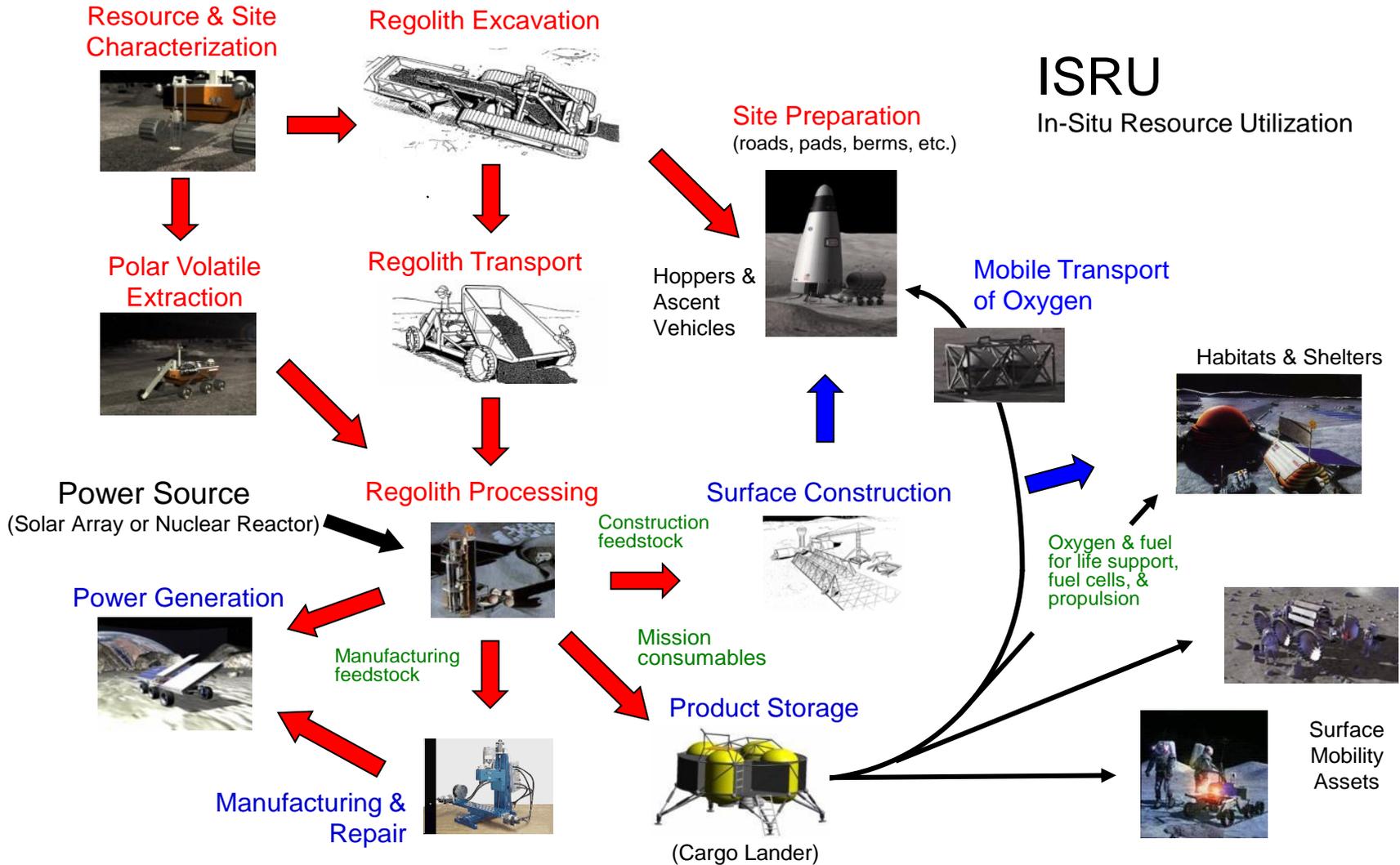
- Science investigations
- Geology investigations
- Propellant Oxidizer (O<sub>2</sub>) Extraction from silicates
- Water Extraction
  - H<sub>2</sub>/O<sub>2</sub> propellant
  - Water (ice or liquid) radiation shielding
  - Human consumable
  - Plant Growth
  - Fuel Cell consumables
- Other volatiles extraction ( He<sub>3</sub>, H<sub>2</sub>, CH<sub>4</sub>, CO, etc)
- Metals Extraction for manufacturing
- Mineral Glass Fibers for manufacturing
- Regolith Bulk Aggregate (Berms, Contours, sand bags)
- Radiation Bulk Shielding for Human Health
  - SPE & GCR
  - Nuclear power plant shielding
- Construction materials (Concrete, bricks, pavers, etc.)
- Industrial processes (solvent, reactant, et.c.)
- Solar photo voltaic arrays manufacturing for electrical power
- Thermal Wadi's for heat energy storage



NASA Images



# Lunar Resources Work Flow





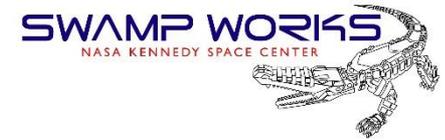
# Terrestrial Robotic Mining



- ◆ Increased safety and improved working conditions for personnel
- ◆ Improved utilization by allowing continuous operation during shift changes
- ◆ Improved productivity through real-time monitoring and control of production loading and hauling processes
- ◆ Improved draw control through accurate execution of the production plan and collection of production data
- ◆ Lower maintenance costs through smooth operation of equipment and reduced damage
- ◆ Remote tele-operation of equipment in extreme environments
- ◆ Deeper mining operations with automated equipment
- ◆ Lower operation costs through reduced operating labor
- ◆ Reduced transportation and logistics costs for personnel at remote locations
- ◆ Control of multiple machines by one tele-operator human supervisor



# Top Robotic Technical Challenges\*



- ◆ Object Recognition and Pose Estimation
- ◆ Fusing vision, tactile and force control for manipulation
- ◆ Achieving human-like performance for piloting vehicles
- ◆ Access to extreme terrain in zero, micro and reduced gravity
- ◆ Grappling and anchoring to asteroids and non cooperating objects
- ◆ Exceeding human-like dexterous manipulation
- ◆ Full immersion, telepresence with haptic and multi modal sensor feedback
- ◆ Understanding and expressing intent between humans and robots
- ◆ Verification of Autonomous Systems
- ◆ Supervised autonomy of force/contact tasks across time delay
- ◆ Rendezvous, proximity operations and docking in extreme conditions
- ◆ Mobile manipulation that is safe for working with and near humans

\*NASA Technology Area 4 Roadmap: Robotics, Tele-Robotics and Autonomous Systems (NASA, Ambrose, Wilcox et al, 2010)



# Top Space Mining Technical Challenges



- ◆ Lunar excavation is different than terrestrial excavation
- ◆ Launch mass and volume limitations
- ◆ Low reaction force excavation in reduced and micro-gravity
- ◆ Operating in regolith dust
- ◆ Fully autonomous operations
- ◆ Encountering sub surface rock obstacles
- ◆ Unknown water ice / regolith composition and deep digging
- ◆ Operating in the dark cold traps of perennially shadowed craters
- ◆ Unknown soil mechanics in polar regions
- ◆ Extreme access and mobility
- ◆ Slopes >35 degrees
- ◆ Extended night time operation and power storage
- ◆ Electrical power storage with high power density
- ◆ Thermal management in temperature extremes
- ◆ Robust “line of sight” RF or laser communications
- ◆ Long life and reliability
- ◆ Long term maintenance & life cycle



Credit: Caterpillar, inc



Credit: Lockheed Martin, inc.

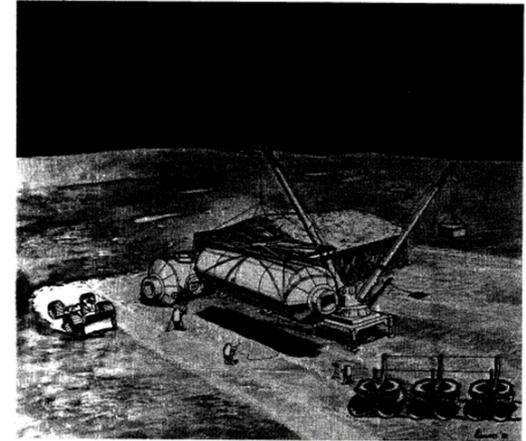


# History of Extra-terrestrial Regolith Excavators

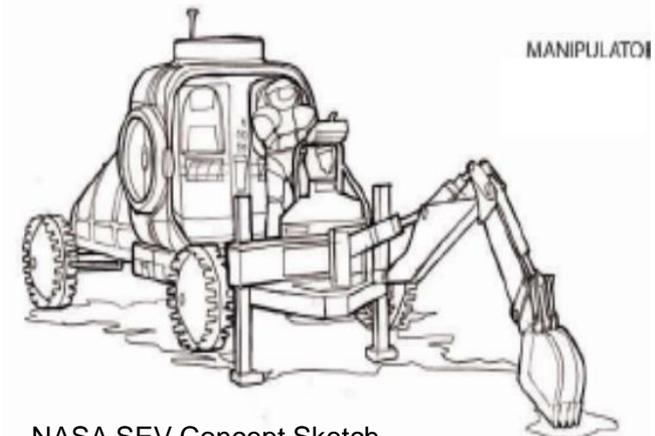
- 1900- 1980's: Early Visionary Studies
- 1988: Eagle Engineering Reports
- 1989-91 NASA Space Exploration Initiative
- 2001-2011 Colorado School of Mines (Mike Duke initiative)
- 2008 Lockheed Martin Bucket Drum- Mauna Kea NASA Field Tests
- 2007 NASA GRC Cratos
- 2007-2009 NASA Centennial Challenge
- 2009 -2001 NASA KSC LANCE Dozer blade & JSC Chariot
- 2009-2011 JPL ATHLETE with bucket implement
- 2009 -2010 Caterpillar Multi Terrain Loader Tele-Operations at JSC
- 2009-2010 SysRand Moonraker
- 2009-2015 Honeybee Pneumatic PlanetVac Micro Excavator
- 2010-2012 NASA JSC Space Exploration Vehicle (SEV) & LANCE
- 2010-2019 NASA Lunabotics Robotic Mining Competition
- 2010-2012 Honeybee Planetary Volatile EXtractor (PVEX)
- 2010-2012 Astrobotic Polaris
- 2010-2019 NASA KSC Swamp Works RASSOR
- 2013-2019 NASA JSC/GRC/KSC Centaur+ APEX + Badger bucket



**Lunar Surface Construction & Assembly Equipment Study**



EEL Report Number 88-194  
NASA Contract Number NAS 9-17878  
1 September, 1988



NASA SEV Concept Sketch



# Example: Taxonomy of Regolith Excavators for Space

<b>Regolith Excavation Mechanism</b>	<b># of machines employing excavation mechanism</b>	<b>Lunabotics 2012</b>
Bucket ladder (two chains)	29	10
Bucket belt	10	6
Front End Loader	10	14
Scraper	8	8
Auger plus conveyor belt / impeller	4	3
Backhoe	4	0
Bucket ladder (one chain)	4	1
Bucket wheel	4	2
Bucket drum	3	4
Claw / gripper scoop	2	0
Drums with metal plates or brush (street sweeper)	2	1
Bucket ladder (four chains)	1	0
Magnetic wheels with scraper	1	0
Rotating tube/scoops entrance	1	1
Vertical auger	1	0
Rotating Scoop		1



# Examples of Regolith Excavator Student Prototypes

## Lunabotics RMC Excavators:

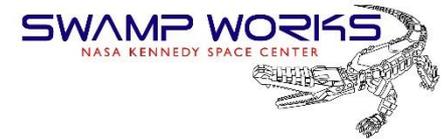
Over 500 university prototypes built and tested in 10 years of annual senior design project competitions



NASA Images



# Chariot LANCE Tractor Dozer



NASA JSC / KSC



NASA Images



# Polaris Excavator



Astrobotic NASA SBIR

[https://hackaday.com/2017/12/12/living-on-the-moon-the-challenges/astrobotics\\_polaris\\_test\\_vehicle/](https://hackaday.com/2017/12/12/living-on-the-moon-the-challenges/astrobotics_polaris_test_vehicle/)



<https://archive.triblive.com/business/local-stories/strip-district-startup-astrobotic-works-on-rover-capable-of-landing-on-moon/>



# LHD Excavator

## Canadian Space Agency, 2010 Mauna Kea NASA ISRU Tests (NORCAT & Juno NEPTEC Rover)

### Load Haul Dump (LHD) Excavator & Dozer implements



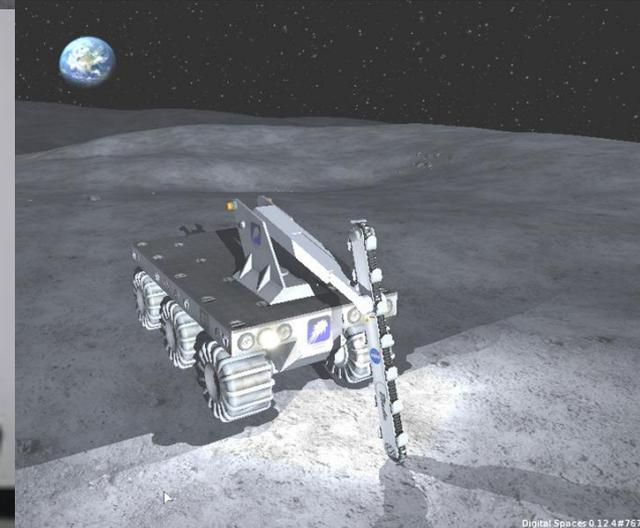
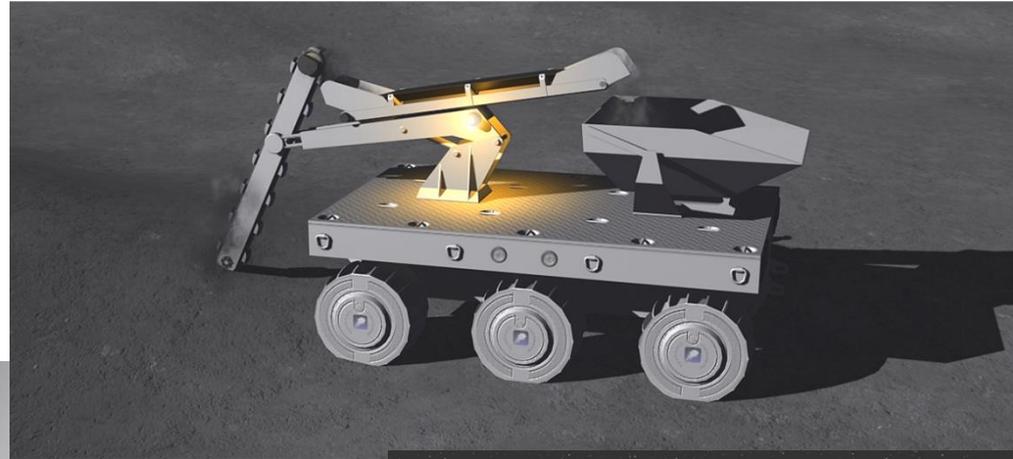
Canadian Space Agency Images



# Moonraker Excavator

## SysRand NASA SBIR

Multi-Purpose  
Excavation Demonstrator  
(MPED)

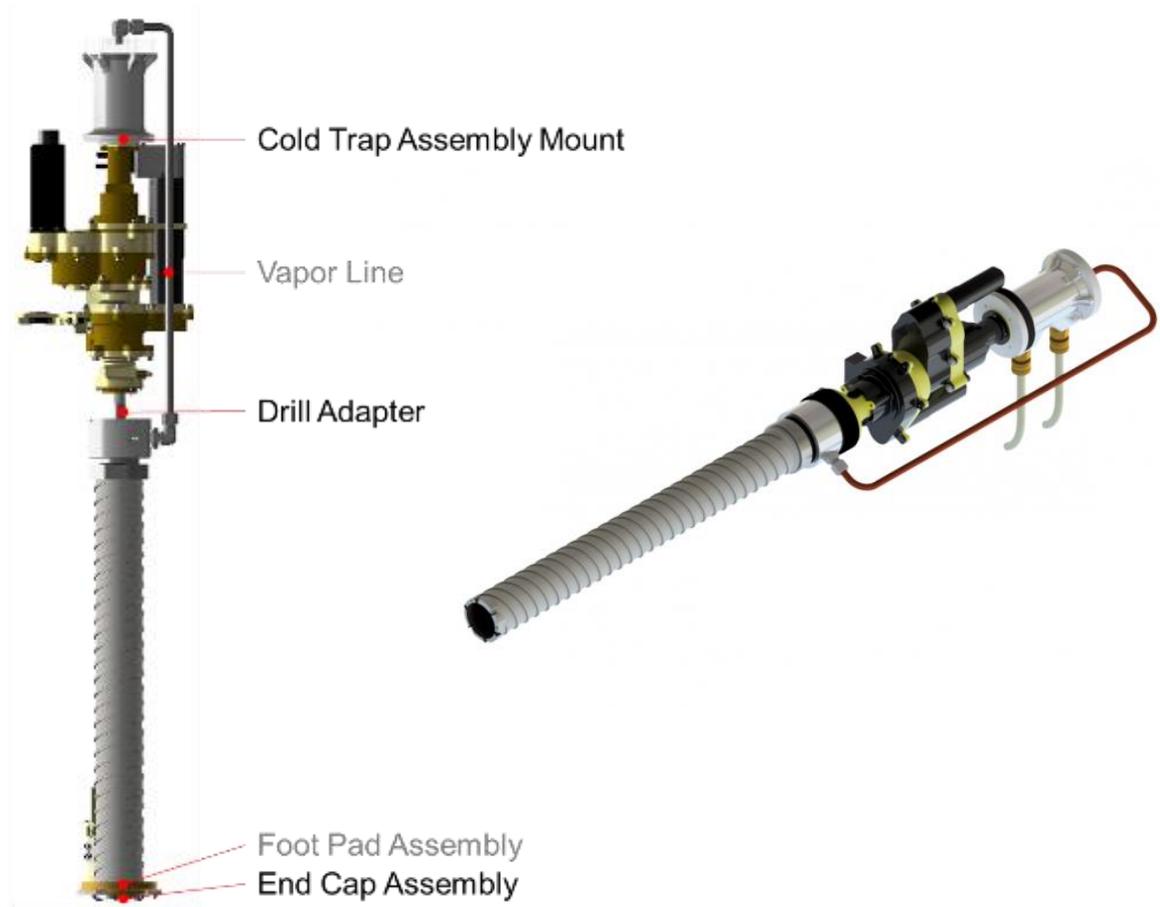


SysRand / NASA Images



# PVEX Volatiles Extraction

## Honeybee Robotics NASA SBIR Planetary Volatile Extractor (PVEx)-Drill



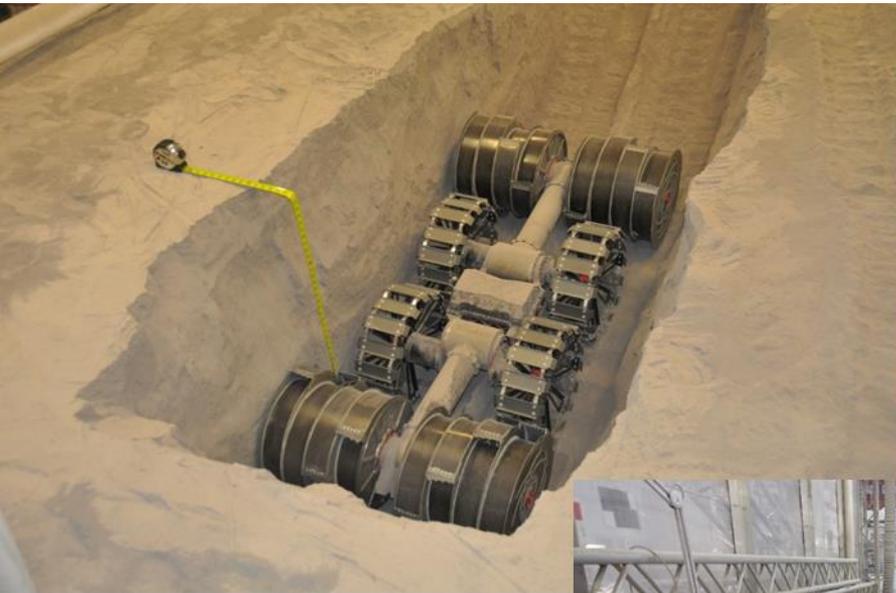


# RASSOR 2.0 Excavator

## NASA KSC Swamp Works

## Regolith Advanced Surface Systems Operations Robot (RASSOR 2.0)

*Capable of deep trenching > 1 m for volatiles mining and construction*



NASA Images



# APEX Excavator

NASA GRC Excavator Arm

NASA KSC Badger Percussive Bucket

NASA JSC Centaur Mobility Platform



NASA Image



# Conclusions



- ◆ **There are vast amounts of resources in the solar system that will be useful to humans in space and possibly on Earth**
- ◆ **None of these resources can be exploited without the first necessary step of extra-terrestrial mining**
- ◆ **The necessary technologies for tele-robotic and autonomous mining have not matured sufficiently yet**
- ◆ **The current state of technology was assessed for terrestrial and extra-terrestrial mining and a taxonomy of robotic space mining mechanisms was presented which was based on current existing prototypes**
- ◆ **Terrestrial and extra-terrestrial mining methods and technologies are on the cusp of massive changes towards automation and autonomy for economic and safety reasons**
- ◆ **It is highly likely that these industries will benefit from mutual co-operation and technology transfer**